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ATTORNEY DOCKET NO.: CIS03-34(7598)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): James N. Guichard, Daniel C. Tappan, Robert Hanzl, and  
W. Scott Wainner  
Serial No.: 10/649,755  
For: METHODS AND APPARATUS TO DISTRIBUTE POLICY INFORMATION  
Filing Date: August 26, 2003  
Examiner: Unassigned  
Art Unit: 2661

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**Certificate of Mailing Under 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on:

Date: May 11, 2004

By: Tammy L. Rosado  
(Typed or printed name of person mailing  
Document, whose signature appears below)

Signature: *Tammy L. Rosado*

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Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

**TRANSMITTAL LETTER**

Sir:

Enclosed is/are:

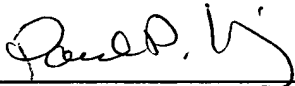
- ☒ Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4;
- ☒ Information Disclosure Statement, Total Pages: 3;
- ☒ PTO-1449 Form, Total Pages: 1;
- ☒ Copy of the cited references are enclosed except for those which qualify under 37 CFR 1.98(a)(2)(i), Total References: 2;
- ☒ Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;

- 2 -

Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

By: \_\_\_\_\_

Paul P. Kriz, Esq.  
U.S.P.T.O. Registration No.: 45,752  
Attorney for Applicant  
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Westborough, Massachusetts 01581  
Telephone: (508) 366-9600  
Facsimile: (508) 616-9805

Attorney Docket No.: CIS03-34(7598)

Dated: May 11, 2004



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Document, whose signature appears  
below)

Signature: Tammy R. Rosado

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or (If IDS filed as first/second submission after Final Rejection);

☒ under 37 CFR 1.97(b), or (If IDS Filed within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last);

- 2 -

- ☐ under 37 CFR 1.97(c) together with either:
  - ☐ a Statement under 37 CFR 1.97(e), as checked below, or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or (If filed after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
  - ☐ a Statement under 37 CFR 1.97(e), as checked below, and
  - ☐ a Petition under 37 CFR 1.97(d)(2), and
  - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i). (If IDS filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
  - ☐ Applicant requests that the attached IDS and cited reference(s) be placed in the application filewrapper. (If IDS filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is Form PTO-1449:

☒ Copy of the cited references are enclosed except for those which qualify under 37 CFR 1.98(a)(2)(i).

☐ Copy of cited references are enclosed except those entered in a prior application, having U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.

- 3 -

☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

☒ Pursuant to the Official Gazette Notice of August 5, 2003 the requirement under 37.CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication is waived for all U.S. national patent applications filed after June 30, 2003.

Concise Explanation Requirement (required for non-English references):

- ☐ The "concise explanation" requirement for reference(s) under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☐ submission of the enclosed International Search Report.
  - ☐ the enclosed English language abstract.

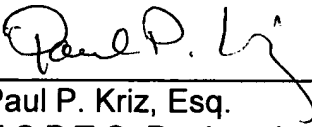
It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☒ No fee is required for this Information Disclosure Statement.
- ☐ Enclosed is a check for \$180.00, which covers the Information Disclosure Fee.


Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, please charge any deficiency to Deposit Account No. 50-0901.

Respectfully submitted,

By:   
Paul P. Kriz, Esq.  
U.S.P.T.O. Registration No.: 45,752  
Attorney for Applicant  
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Attorney Docket No.: CIS03-34(7598)

Dated: May 11, 2004

PTO-1449 REPRODUCED  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (See several sheets if necessary)				ATTORNEY DOCKET NO.  CIS03-34(7598)		APPLICATION SERIAL NO.  10/649,755	
				APPLICANT  James N. Guichard, et al.			
				FILING DATE August 26, 2003		GROUP 2661	
<b>U.S. PATENT DOCUMENTS</b>							
EXAM- INER INI- TIAL	DOCUMENT NUMBER	DATE mm/dd/yy	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE	
AA	6,678,827	1/13/04	Rothermel, et al.	713	201	5/6/99	
AB	6,606,660	8/12/03	Bowman-Amuah	709	227	8/31/99	
AC	6,332,163	12/18/01	Bowman-Amuah	709	231	9/1/99	
AD	6,157,955	12/5/00	Narad, et al.	709	228	6/15/98	
AE	6,732,176	5/4/04	Stewart, et al.	709	227	4/18/00	
AF	6,701,358	3/2/04	Poisson, et al.	709	223	4/2/99	
AG	6,631,416	10/7/03	Bendinelli, et al.	709	227	4/11/01	
AH	6,539,483	3/25/03	Harrison, et al.	713	201	1/12/00	
AI	6,671,724	12/30/03	Pandya, et al.	709	226	3/21/00	
AJ							
AK							
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO	
AL							
AM							
AN							
AO							
AP							
AQ							
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
AR	S. Bradner, RFC 2026, "The Internet Standards Process—Revision 3," Harvard University, Inc, October 1996, <a href="http://asg.web.cmu.edu/rfc/rfc2026.html">http://asg.web.cmu.edu/rfc/rfc2026.html</a>						
AS	E. Rosen, Y. Rekhter, RFC2547, BGP/MPLS VPNs, Copyright © The Internet Society (1999). All Rights Reserved. <a href="http://www.scit.wlv.ac.uk/rfc/rfc25xx/RFC2547.html">http://www.scit.wlv.ac.uk/rfc/rfc25xx/RFC2547.html</a>						
AT							
EXAMINER				DATE CONSIDERED			